

# Level matrix, $^{16}\text{N}$ $\beta$ decay, and the $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$ reaction

J. Humblet,\* B. W. Filippone, and S. E. Koonin

*W. K. Kellogg Radiation Laboratory, California Institute of Technology, Pasadena, California 91125*

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The level matrix corresponding to the  $\mathcal{H}$ -matrix parametrization of a resonant nuclear reaction is derived and applied to the spectrum of  $\alpha$  particles emitted following  $^{16}\text{N}$   $\beta$  decay. The parametrized spectrum is fitted to data simultaneously with the  $E1$  capture cross section of the  $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$  reaction and the  $p$ -wave phase shift of  $^{12}\text{C}(\alpha, \alpha)^{12}\text{C}$ . Our analysis shows that new measurements of the  $\alpha$  spectrum from  $^{16}\text{N}$   $\beta$  decay could be used to significantly reduce the uncertainty of the  $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$  astrophysical  $S$  factor at 0.3 MeV. Various constraints on the parameters are analyzed and suggestions are made for further reducing the uncertainty in this crucial reaction rate.

## I. INTRODUCTION

As a supplement to a recent paper [1] on the  $\mathcal{H}$ -matrix parametrization of resonant nuclear reactions, we derive here the level matrix associated with this parametrization. This, in turn, allows us to derive a parametrized form of the spectrum of  $\alpha$  particles emitted after the  $\beta$  decay of unstable nuclei. When applied to the  $\alpha$  particles emitted following  $^{16}\text{N}$   $\beta$  decay, the parametrization can be used to further constrain the astrophysical  $S$  factor for the  $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$  capture reaction at 0.3 MeV, as compared to a recent analysis [2]. In the latter paper [2], only the cross section for the  $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$  reaction and the elastic scattering  $p$ -wave phase shift for  $^{12}\text{C}(\alpha, \alpha)^{12}\text{C}$  were parametrized in terms of a  $\mathcal{H}$  matrix and fitted simultaneously to recent data. Unfortunately, fitting the phase shift proved to be only a weak constraint on the free parameters involved. In contrast, we show here that the simultaneous fit of the  $\alpha$  spectrum from  $^{16}\text{N}$   $\beta$  decay is a very stringent constraint on the free parameters. This new  $\mathcal{H}$ -matrix analysis suggests that a new measurement of the energy spectrum of the  $\alpha$  particles following  $^{16}\text{N}$   $\beta$  decay can significantly constrain the  $S$  factor for  $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$ .

In Sec. II below we discuss the level matrix appropriate to the  $\mathcal{H}$ -matrix description. In Sec. III we give explicit formulas for the  $\mathcal{H}$ -matrix parametrization of the  $\beta$ -delayed  $\alpha$  spectrum from  $^{16}\text{N}$ . In Sec. IV we restate the corresponding formulas for the  $E1$   $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$  cross section and  $^{12}\text{C}(\alpha, \alpha)^{12}\text{C}$   $p$ -wave phase shift, and fit all three types of data simultaneously. Finally, in Sec. V we discuss our results and present our conclusions. Throughout, our notation and definitions are those of Ref. [1].

## II. THE LEVEL MATRIX

The particular form of the transition matrix  $\mathcal{T} = 1 - \mathcal{S}$  corresponding to a one-level approximation of the  $\mathcal{H}$  matrix has been given in Sec. VI of Ref. [1]. This is the simplest illustration of the fact that in the equation [1]

$$\mathcal{T} = -2ip\mathcal{H}(1 - i\mu\mathcal{H})^{-1}p \quad (2.1)$$

the inversion of a channel matrix can be replaced by the inversion of a level matrix. We now obtain  $\mathcal{T}$  in terms of a level matrix  $A$  (elements  $A_{\lambda\mu}$ ) for any number of levels and channels.

The column vector  $g_\lambda$  has elements  $g_{a\lambda}, g_{b\lambda}, \dots$ , and the diagonal matrix  $\mu$  has diagonal elements  $\mu_a, \mu_b, \dots$ , so that  $h_\lambda = \mu g_\lambda$  is also a column vector with elements  $\mu_a g_{a\lambda}, \mu_b g_{b\lambda}, \dots$ . With this notation we have [1]

$$\mathcal{H} = \sum_\lambda g_\lambda \times g_\lambda / (E_\lambda - E), \quad (2.2)$$

$$1 - i\mu\mathcal{H} = 1 - i \sum_\lambda h_\lambda \times g_\lambda / (E_\lambda - E). \quad (2.3)$$

We now assume that the inverse of the latter quantity has the form

$$(1 - i\mu\mathcal{H})^{-1} = 1 + i \sum_{\nu\mu} h_\nu \times g_\mu A_{\nu\mu}, \quad (2.4)$$

and justify it by obtaining the corresponding  $A$  matrix. The product of the matrices (2.3) and (2.4) being the unit matrix we must have

$$\sum_{\nu\mu} h_\nu \times g_\mu A_{\nu\mu} - \sum_\lambda h_\lambda \times g_\lambda / (E_\lambda - E) - i \sum_{\lambda\nu\mu} A_{\nu\mu} (h_\lambda \times g_\lambda) (h_\nu \times g_\mu) / (E_\lambda - E) = 0. \quad (2.5)$$

Since the matrix in the third term also reads

$$(h_\lambda \times g_\mu) m_{\lambda\nu},$$

with

$$m_{\lambda\nu} = \sum_e g_{e\lambda} h_{e\nu} = \sum_e \mu_e g_{e\lambda} g_{e\nu}, \quad (2.6)$$

Eq. (2.5) can be given the form

$$\sum_{\lambda\mu} (h_\lambda \times g_\mu) \left[ A_{\lambda\mu} - \delta_{\lambda\mu} / (E_\lambda - E) - i \sum_\nu m_{\lambda\nu} A_{\nu\mu} / (E_\lambda - E) \right] = 0.$$

This equation is satisfied when

$$(E_\lambda - E)A_{\lambda\mu} - i \sum_\nu m_{\lambda\nu} A_{\nu\mu} = \delta_{\lambda\mu}, \quad (2.7)$$

or

$$\sum_\nu [(E_\lambda - E)\delta_{\lambda\nu} - im_{\lambda\nu}] A_{\nu\mu} = \delta_{\lambda\mu}, \quad (2.8)$$

i.e., when the symmetrical level matrix  $A$ , with elements  $A_{\lambda\mu}$ , is defined by its inverse,

$$(A^{-1})_{\lambda\mu} = (E_\lambda - E)\delta_{\lambda\mu} - i \sum_{e^+} p_e^2 g_{e\lambda} g_{e\mu}, \quad (2.9)$$

since in  $m_{\lambda\mu}$ , according to Sec. VI of Ref. [1], we have  $\mu_{e^+} = p_e^2$ ,  $\mu_{e^-} = 0$  in open and closed channels, respectively.

From Eqs. (2.2), (2.4), and (2.7) we obtain

$$\begin{aligned} \mathcal{H}(1 - i\mu\mathcal{H})^{-1} &= \sum_\lambda g_\lambda \times g_\lambda / (E_\lambda - E) \\ &\quad + i \sum_{\lambda\mu\nu} g_\lambda \times g_\mu m_{\lambda\nu} A_{\nu\mu} / (E_\lambda - E) \\ &= \sum_{\lambda\mu} g_\lambda \times g_\mu A_{\lambda\mu} \end{aligned} \quad (2.10)$$

and

$$\mathcal{T} = -2i \sum_{\lambda\mu} p(g_\lambda \times g_\mu) p A_{\lambda\mu}. \quad (2.11)$$

For an integrated cross section, and with partial widths defined as in Ref. [1] by  $\Gamma_{c\lambda} = 2p_c^2 g_{c\lambda}^2$ , we have

$$\sigma_{cd}^J = \frac{4\pi g^J}{k_c^2} \left| \sum_{\lambda\mu} p_c g_{c\lambda} A_{\lambda\mu} g_{d\mu} p_d \right|^2 \quad (2.12)$$

$$= \frac{\pi g^J}{k_c^2} \left| \sum_{\lambda\mu} \Gamma_{c\lambda}^{1/2} A_{\lambda\mu} \Gamma_{d\mu}^{1/2} \right|^2. \quad (2.13)$$

The result (2.10) is only formally the same as in  $R$ -matrix theory [3], the main difference being in the very definition (2.9) of the level matrix  $A$ . Here,  $i\mu_e$ , which corresponds to  $L_e^0 = S_e + iP_e - B_e$  in  $R$ -matrix theory, is not only independent of the channel radii, but it also vanishes in all closed channels  $e^-$  with two charged fragments, as seen in Sec. VI of Ref. [1].

Nevertheless, from the very form of Eqs. (2.10)–(2.12), we can infer that the applications, which have made use of the level matrix associated with the  $R$  matrix, should also be feasible with the level matrix associated with the  $\mathcal{H}$  matrix. This should hold in particular for the analysis of the energy spectrum of the  $\alpha$  particles following the  $\beta^-$  decay of  $^{16}\text{N}$ , whose  $2^-$  ground state is unstable. This and related problems have been analyzed previously by Barker *et al.* [4–6] in papers based on an  $R$ -matrix parametrization.

### III. THE SPECTRUM OF $\alpha$ PARTICLES FOLLOWING $^{16}\text{N}$ $\beta$ DECAY

For the  $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$  reaction, the astrophysical factor

$$S(E) = E \sigma_{\alpha\gamma}(E) \exp(2\pi\eta) \quad (3.1)$$

is of particular interest at the “most effective”  $^{12}\text{C} + \alpha$

center-of-mass energy  $E = 0.3$  MeV. However, a simultaneous fit to the capture cross section  $[\sigma_{\alpha\gamma}(E)]$  and the elastic-scattering  $^{12}\text{C}(\alpha, \alpha)^{12}\text{C}$  data fixes  $S(0.3)$  only within a wide range [2]. It is therefore appropriate to perform a new  $\mathcal{H}$ -matrix analysis with the further constraint of simultaneously fitting the spectrum of  $\alpha$  particles following  $^{16}\text{N}$   $\beta$  decay.

The required fit can be performed by applying Eqs. (2.10)–(2.13) for the  $E1$  capture and using a three-level approximation. The first level is at  $E_1 = -0.0451$  MeV (the energy of the  $1^-$  bound state of  $^{16}\text{O}$ ), the second level at  $E_2$  corresponds to the broad  $1^-$  resonance at  $E \simeq 2.45$  MeV ( $E_x \simeq 9.61$  MeV), while  $E_3$  is associated with background contributions accounting for levels at higher excitation.

The ground state of  $^{16}\text{N}$  is  $2^-$ , while  $^{12}\text{C}$  and the  $\alpha$  particle are both  $0^+$ . Accordingly, if only allowed Gamow-Teller transitions are considered, the corresponding  $\alpha$  particles are emitted by  $^{16}\text{O}^*$  in  $1^-$  and  $3^-$  states. According to Barker and Warburton [6], the parametrized form for the  $\alpha$  particle spectrum in the  $1^-$   $^{12}\text{C} + \alpha$  channel has a form corresponding to Eq. (2.12) in which appropriate feeding factors for each energy level are substituted for the factors related to the entrance channel  $c$ . With the index  $\alpha$  being used to characterize the  $1^-$   $^{12}\text{C} + \alpha$  exit channel, this gives for the number of  $\alpha$  particles per unit energy interval and with  $l=1$  angular momentum

$$N_{1\alpha}(E) = f_\beta(E) \left| \sum_{\lambda\mu} B_\lambda A_{\lambda\mu} g_{\alpha\mu} p_{1\alpha} \right|^2 \quad (3.2a)$$

$$= \frac{1}{2} f_\beta(E) \left| \sum_{\lambda\mu} B_\lambda A_{\lambda\mu} \Gamma_{\alpha\mu}^{1/2} \right|^2, \quad (3.2b)$$

where

$$f_\beta(E) = f(W_0, 8) \quad (3.3)$$

is the integrated Fermi function [7] with  $Z=8$  and  $W_0 = (3.768 - E)/m_e$ ,  $E \leq E_{\text{max}} = 3.257$  MeV, while the  $B_\lambda$  are feeding factors proportional to the Gamow-Teller matrix elements between the initial and final hadronic states.

In Eqs. (3.2) the elements of the level matrix  $A$  are implicitly defined by Eq. (2.9) and here we have

$$(A^{-1})_{\lambda\mu} = (E_\lambda - E)\delta_{\lambda\mu} - i(p_{1\alpha}^2 g_{\alpha\lambda} g_{\alpha\mu} + p_{1\gamma}^2 g_{\gamma\lambda} g_{\gamma\mu}). \quad (3.4)$$

We drop the index  $l=1$  when no confusion can arise. In Eq. (3.4) we can neglect the contribution from the  $\gamma$  channel to the last term and write

$$(A^{-1})_{\lambda\mu} = (E_\lambda - E)\delta_{\lambda\mu} - ip_{1\alpha}^2 g_{\alpha\lambda} g_{\alpha\mu} \quad (3.5)$$

since, from Table I in Ref. [2], the neglected terms are about 6 orders of magnitude smaller than those associated with the  $\alpha$  channel.

The inversion of  $A^{-1}$  is easily performed. Defining

$$D = (E_1 - E)(E_2 - E)(E_3 - E)(1 - ip_{1\alpha}^2 \mathcal{H}_{1\alpha\alpha}), \quad (3.6)$$

with

$$\mathcal{H}_{1\alpha\alpha} = \sum_{\lambda=1}^3 g_{\alpha\lambda}^2 / (E_\lambda - E), \quad (3.7)$$

we obtain

$$A_{\lambda\mu} = \frac{\delta_{\lambda\mu}}{E_\lambda - E} \frac{1}{1 - ip_{1\alpha}^2 \mathcal{H}_{1\alpha\alpha}} + \mathcal{A}_{\lambda\mu}, \quad (3.8)$$

with

$$\begin{aligned} D\mathcal{A}_{11} &= -ip_{1\alpha}^2 [g_{\alpha 2}^2(E_3 - E) + g_{\alpha 3}^2(E_2 - E)], \\ D\mathcal{A}_{12} &= -ip_{1\alpha}^2 g_{\alpha 1} g_{\alpha 2} (E_3 - E), \end{aligned} \quad (3.9)$$

and all the other  $\mathcal{A}_{\lambda\mu}$  being obtained by circular permutation. Hence

$$\sum_{\mu} \mathcal{A}_{\lambda\mu} g_{\alpha\mu} = 0 \quad (3.10)$$

and, instead of Eq. (3.2a), with the approximation (3.5), we simply have

$$N_{1\alpha}(E) = f_{\beta}(E) p_{1\alpha}^2(E) \left| \frac{B_1 g_{\alpha 1} / (E_1 - E) + B_2 g_{\alpha 2} / (E_2 - E) + B_3 g_{\alpha 3} / (E_3 - E)}{1 - ip_{1\alpha}^2 \mathcal{H}_{1\alpha\alpha}} \right|^2, \quad (3.11)$$

a formula similar to the  $R$ -matrix expression used by Barker in his early paper [5].

In Eq. (3.11),  $B_2$  and  $B_3$  are free parameters obtained from fitting the energy spectrum of emitted  $\alpha$  particles. However,  $B_1$  can be obtained from the  $\beta$ -delayed  $\gamma$ -ray intensity from the  $E_1$  bound state, since when the total lepton energy is larger than 3.257 MeV,  $E$  is negative, the  $\alpha$  channel is closed for the decay of  $^{16}\text{O}^*(1^-)$ , and only the  $\gamma$  channel is open. With  $Q = 7.1616$  MeV and

$$p_{1\gamma}^2 = [(Q + E)/\hbar c]^2, \quad \Gamma_{\gamma\mu} = 2p_{1\gamma}^2 g_{\gamma\mu}^2, \quad (3.12a)$$

$$(A^{-1})_{\lambda\mu} = (E_\lambda - E) \delta_{\lambda\mu} - ip_{1\gamma}^2 g_{\gamma\lambda} g_{\gamma\mu}, \quad (3.12b)$$

the  $\gamma$  spectrum is given by

$$N_{1\gamma}(E) = \frac{1}{2} f_{\beta}(E) \left| \sum_{\lambda\mu} B_{\lambda} A_{\lambda\mu} \Gamma_{\gamma\mu}^{1/2} \right|^2. \quad (3.13)$$

Since the  $\gamma$  widths are very small, so is  $N_{1\gamma}(E)$ , except when  $E \simeq E_1$ . Hence, we are justified in using a one-level approximation to Eq. (3.13). With  $A_{11} = 1/(E_1 - E - ip_{1\gamma}^2 g_{\gamma 1}^2)$  we have

$$N_{1\gamma}(E) = \frac{1}{2} f_{\beta}(E) B_1^2 \frac{\Gamma_{\gamma 1}}{(E - E_1)^2 + \Gamma_{\gamma 1}^2/4}. \quad (3.14)$$

To a very good approximation, because  $\Gamma_{\gamma 1}(E_1)$  is only 55 meV, this gives for the total number of  $\gamma$ 's emitted

$$N_{1\gamma} = \int_{-Q}^0 N_{1\gamma}(E) dE = \pi B_1^2 f_{\beta 1}, \quad (3.15)$$

with  $f_{\beta 1} = f_{\beta}(E_1) = f(7.462, 8)$ , and hence

$$B_1^2 = \frac{N_{1\gamma}}{\pi f_{\beta 1}}. \quad (3.16)$$

Because  $E_2$  is a broad resonance, a similar evaluation does not apply to  $B_2$ . We note, however, that  $N_{1\alpha}/\pi f(2.581, 8)$  obtained from the data has the correct order of magnitude and hence is a good starting value for  $B_2$  in the search for the best fit.

#### IV. FITTING $\sigma_{E1}$ , $\delta_1$ , AND $N_{1\alpha}$ TO THE DATA

The parametrized expressions for the  $E1$  capture cross section and the  $\delta_1$  phase shift to be fitted to data are the

same as those in Ref. [2]. With [8]

$$\mathcal{H}_{1\alpha\alpha} = \frac{g_{\alpha 1}^2}{E_1 - E} + \frac{g_{\alpha 2}^2}{E_2 - E} + \frac{g_{\alpha 3}^2}{E_3 - E} + b_{\alpha\alpha}, \quad (4.1)$$

$$\mathcal{H}_{1\gamma\alpha} = \frac{g_{\gamma 1} g_{\alpha 1}}{E_1 - E} + \frac{g_{\gamma 2} g_{\alpha 2}}{E_2 - E} + \frac{g_{\gamma 3} g_{\alpha 3}}{E_3 - E} + b_{\gamma\alpha}, \quad (4.2)$$

they read

$$\sigma_{E1} = \frac{12\pi}{k^2} p_{1\alpha}^2 p_{1\gamma}^2 |\mathcal{H}_{1\gamma\alpha} / (1 - ip_{1\alpha}^2 \mathcal{H}_{1\alpha\alpha})|^2, \quad (4.3)$$

$$\delta_1 = \arctan(p_{1\alpha}^2 \mathcal{H}_{1\alpha\alpha}). \quad (4.4)$$

Here, as in Ref. [2], a single background pole term in  $\mathcal{H}_{1\alpha\alpha}$  does not lead to a sufficiently good fit of  $\delta_1$ . Rather than introducing as in Ref. [9] a second background pole term with a very large pole energy, we simply add a constant term  $b_{\alpha\alpha}$  as we did in Ref. [2]. Similarly a constant  $b_{\gamma\alpha}$  is added to  $\mathcal{H}_{1\gamma\alpha}$ . These background terms are introduced as a low-energy approximation of the contributions from distant levels  $E_\lambda$  ( $\lambda > 2$ ). In order to constrain as much as possible the background parameters, we have, as in Ref. [2], used the presently available data over the widest possible energy range, namely, up to  $E = 4.9$  MeV for the phase shift  $\delta_1$ ,  $E = 2.9$  MeV for the capture cross section  $\sigma_{E1}$ , and  $E = 2.7$  MeV ( $E_\alpha = 3.6$ ) for the  $\alpha$  spectrum.

As in Ref. [2],  $\delta_1$  is fitted simultaneously to three sets of data, [9] while here, for illustration,  $\sigma_{E1}$  is fitted only to the Kremer *et al.* [10] data. For the experimental  $\alpha$  spectrum, we use as in Ref. [12], the data of Hättig *et al.* [11] as obtained by Barker [4,13], with counts corresponding to the  $l=3$   $\alpha$  channel subtracted from the total  $\alpha$  spectrum. This reduces the total number of counts from  $N_\alpha = 3.24 \times 10^7$  to  $N_{1\alpha} = 3.15 \times 10^7$ , where the latter number is to be used in the evaluation of the feeding factor  $B_1$  as given by Eq. (3.16). This is accomplished using

$$N_{1\gamma} = N_{1\alpha} Y_{1\gamma}(E_1) / Y_{1\alpha}(E_2), \quad (4.5)$$

where the branching ratios are [14]  $Y_{1\gamma}(E_1) = 0.048 \pm 0.004$ ,  $Y_{1\alpha}(E_2) = (1.20 \pm 0.05) \times 10^{-5}$ , and thus we obtain

TABLE I. Parameter values for the best fits with  $g_{\gamma 3}, b_{\gamma\alpha}$  as free parameters (second column) and with  $g_{\gamma 3} = b_{\gamma\alpha} = 0$  (third column). The numbers in parentheses are fixed parameters and have been obtained from earlier work (see Ref. [2]) and Eq. (4.6). To give the reduced with amplitudes their usual dimensions, they have been multiplied by  $a^{-3/2}$ , with  $a = 5.46$  fm as in Ref. [2].

$E_1(\text{MeV})$	(-0.0451)	(-0.0451)
$g_{\alpha 1} a^{-3/2}(\text{MeV}^{1/2})$	-5.34	-5.89
$g_{\gamma 1} a^{-3/2}(\text{MeV}^{1/2})$	$(1.897 \times 10^{-3})$	$(1.897 \times 10^{-3})$
$B_1$	(6804)	(6804)
$E_2(\text{MeV})$	2.452	2.453
$g_{\alpha 2} a^{-3/2}(\text{MeV}^{1/2})$	7.02	6.97
$g_{\gamma 2} a^{-3/2}(\text{MeV}^{1/2})$	$0.659 \times 10^{-3}$	$0.632 \times 10^{-3}$
$B_2$	-2385	-2365
$E_3(\text{MeV})$	(7.000)	(7.000)
$g_{\alpha 3} a^{-3/2}(\text{MeV}^{1/2})$	12.00i	12.04i
$g_{\gamma 3} a^{-3/2}(\text{MeV}^{1/2})$	$-2.66 \times 10^{-3}i$	—
$B_3$	4028i	5116
$b_{\alpha\alpha} a^{-3}$	70.83	72.12
$b_{\gamma\alpha} a^{-3}$	$-5.71 \times 10^{-3}$	—
$\Gamma_{\gamma 1}(\text{MeV})$	$(55 \times 10^{-9})$	$(55 \times 10^{-9})$
$\Gamma_{\alpha 2}(\text{MeV})$	0.467	0.461
$\Gamma_{\gamma 2}(\text{MeV})$	$16.4 \times 10^{-9}$	$15.0 \times 10^{-9}$
$S_{E1}(0.3)(\text{MeV b})$ at $\chi^2_{\min}$	0.043	0.055
$S_{E1}(0.3)(\text{MeV b})$ range	0.027–0.063	0.038–0.074

$$B_1 = 6804 \pm 635. \quad (4.6)$$

Other fixed parameters are  $E_1, E_3, g_{\gamma 1}$ , as in Ref. [2].

We fitted simultaneously  $\sigma_{E1}, \delta_1$ , and  $N_{1\alpha}$  as given by Eqs. (4.3), (4.4), and (3.11) to the three sets of data. We define an effective  $\chi^2$  by [2]

$$\chi^2_{\text{eff}} = \frac{1}{3}(\chi^2_{\gamma} + \chi^2_{\delta} + \chi^2_{\beta}), \quad (4.7)$$

where  $\chi^2_{\gamma}, \chi^2_{\delta}, \chi^2_{\beta}$  are  $\chi^2$  per data point for the  $E1$  capture cross section, the  $l=1$  phase shift and the  $l=1$   $\alpha$  spectrum, respectively. Our best fit corresponds to  $\chi^2_{\min}$ , the minimum of  $\chi^2_{\text{eff}}$ . The numerical results for the best fit are given in Table I, while Fig. 1 gives  $\chi^2_{\text{eff}}$  versus  $S_{E1}(0.3)$  when this quantity is used as a free parameter instead of  $g_{\alpha 1}$ , as discussed in Ref. [2]. The  $\chi^2_{\text{eff}}$  is minimized at  $S_{E1}(0.3) = 0.043$  MeV b. From Fig. 1 we also see that the range of acceptable values for  $S_{E1}(0.3)$ , defined as those whose  $\chi^2_{\text{eff}}$  does not exceed  $\chi^2_{\min}$  by more than 30% is 0.027–0.063 MeV b. Ten free parameters and 106 data points are involved in this fit.

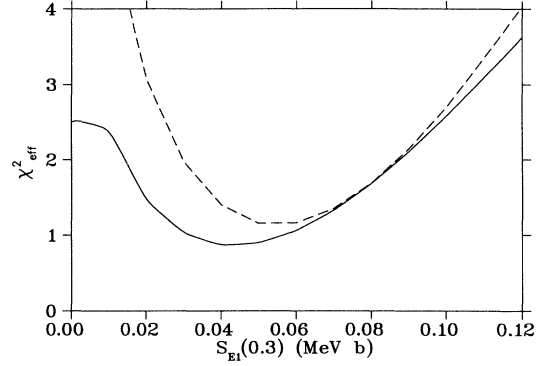


FIG. 1. The effective  $\chi^2$  vs  $S_{E1}(0.3)$ . The two curves correspond to fits without constraint on the free parameters (solid line), and with  $g_{\gamma 3} = b_{\gamma\alpha} = 0$  (dashed line).

Fits with fewer free parameters have also been obtained by introducing constraints corresponding to those used by Barker [5,15] in his  $R$ -matrix fits. They are  $g_{\gamma 3} = b_{\gamma\alpha} = 0$ , and  $B_3 = 0$ . Complete results with  $g_{\gamma 3} = b_{\gamma\alpha} = 0$  are also given in Table I, while for  $B_3 = 0$ , only the main results are reported in Table II, together with those obtained in Ref. [2]. As seen from Fig. 1, the constraints  $g_{\gamma 3} = b_{\gamma\alpha} = 0$  shift the range of acceptable  $S_{E1}(0.3)$  values slightly higher, while the allowed range (0.038–0.074 MeV b) is not reduced. These constraints on the  $\gamma$  channel parameters mainly increase  $\chi^2_{\gamma}$ , from 0.88 to 1.48. This suggests that the parametrization of  $\sigma_{E1}$  is then less reliable, and hence, so should be the astrophysical factor  $S_{E1}(0.3)$ .

The constraint  $B_3 = 0$  increases  $\chi^2_{\beta}$  by more than a factor of 10 as seen in Table II, an unacceptably large increase. With both constraints,  $\chi^2_{\gamma} = 4.92$  is also unacceptable.

## V. DISCUSSION OF THE RESULTS AND CONCLUSIONS

The overall situation could be much improved by better data for  $\sigma_{E1}$  in the 1–3-MeV energy range along with data points below and above this range, but this does not appear likely in the near future. However, a new measurement of the  $\alpha$  spectrum extending below and above the energy range of the Hättig *et al.* [11] data,  $E = 1.5$ –2.7 MeV, appears more feasible and has recent-

TABLE II. Values of  $\chi^2$  for simultaneous fits to the three sets of data, with different constraints, and the corresponding range of allowed values for  $S_{E1}(0.3)$ . For the sake of comparison, also given in the first line are the results obtained in Ref. [2], where the  $\alpha$  spectrum from  $^{16}\text{N}$   $\beta$  decay was not fitted.

Constraints	$\chi^2_{\gamma}$	$\chi^2_{\delta}$	$\chi^2_{\beta}$	$\chi^2_{\min}$	$S_{E1}(0.3)$ range
None (from Ref. [2])	0.92	1.34	—	1.13	0.00–0.16
None	0.88	1.51	0.20	0.86	0.027–0.063
$g_{\gamma 3} = b_{\gamma\alpha} = 0$	1.48	1.57	0.35	1.13	0.038–0.074
$B_3 = 0$	0.99	1.91	2.17	1.69	
$g_{\gamma 3} = b_{\gamma\alpha} = B_3 = 0$	4.92	2.25	1.99	3.05	

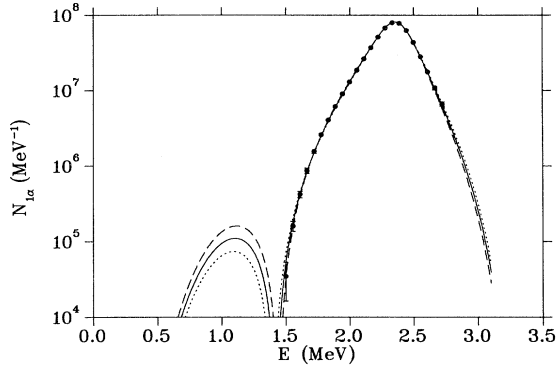


FIG. 2. The parametrized  $\alpha$  spectrum vs center-of-mass energy. The solid line gives the  $\alpha$  spectrum when  $\sigma_{E1}$ ,  $\delta_1$ , and  $N_{1\alpha}$  are fitted simultaneously without constraint. The others give the  $\alpha$  spectrum when  $S_{E1}(0.3)$  is given the extreme values of its allowed range, i.e.,  $S_{E1}(0.3)=0.027$  MeV b for the dotted line, and  $S_{E1}(0.3)=0.063$  MeV b for the dashed line. All three spectra vanish at  $E \approx 1.4$  MeV.

ly been proposed at TRIUMF [16]. In this context, the parametrized spectra in Fig. 2 are shown well above and below the range of the present data. One spectrum corresponds to the best fit with  $S_{E1}=0.043$  MeV b, while the others correspond to  $S_{E1}(0.3)=0.027$  and  $0.063$  MeV b, respectively; i.e., the end points of the range of acceptable values of  $S_{E1}(0.3)$  deduced from Fig. 1. The computed spectra have two maxima because the numerator in Eq. (3.11) vanishes at  $E \approx 1.4$  MeV. The main parameters involved in these fits are reported in Table III. The parameters  $g_{\alpha 2}$  and  $B_2$  are nearly the same for the three fits. In contrast, from the fit with  $S_{E1}(0.3)=0.027$  MeV b to that with  $S_{E1}(0.3)=0.063$  MeV b,  $g_{\alpha 1}^2$  increases by as much as a factor of 2. But this large variation is partially compensated by an important increase in  $|B_3|$ . Nevertheless, with  $S_{E1}(0.3)=0.063$  MeV b, the number of counts at the energy of the first maximum ( $\approx 1.1$  MeV) increases by 45% relative to that when  $S_{E1}(0.3)=0.043$  MeV b. With  $S_{E1}(0.3)=0.027$  MeV b, it is lowered by 33%. Even a 10% error in the number of counts at energies near 1.1 MeV could result in an important reduction in the range of acceptable values for  $g_{\alpha 1}$  and hence  $S_{E1}(0.3)$ . This analysis of our results at low energy agrees with Baye and

Descouvemont [17]. They emphasized the strong correlation between the  $R$ -matrix reduced width  $\gamma_{\alpha 1}^2$  of the  $E_1$  bound state and the  $\alpha$  spectrum in the 0.8–1.2-MeV energy range.

In all of our fits we have kept  $B_1$  fixed, although we have estimated that an uncertainty close to 10% must be attached to the numerical value  $B_1=6804$ . Since  $B_1$  and  $g_{\alpha 1}$  are strongly correlated in the energy spectrum (3.11), it is also desirable to improve the precision of the numerical value of  $B_1$ . According to Eqs. (3.16) and (4.5), this requires a better determination of the branching ratios  $Y_{1\gamma}(E_1)$  and  $Y_{1\alpha}(E_2)$ , if no direct measurement is made of the  $\beta$ -delayed  $\gamma$ -ray intensity from the  $E_1$  bound state.

Turning to the high-energy part of the  $\alpha$  spectrum, new data extending to energies higher than  $E=2.7$  MeV should better constrain the  $B_3$  feeding factor. As seen earlier, this in turn could better constrain  $g_{\alpha 1}$ , since the term in  $B_3$  is not negligible at 1.1 MeV. At  $E=3$  MeV, with  $S_{E1}(0.3)=0.063$  MeV b, the parametrized spectrum is reduced by 22% relative to that with  $S_{E1}(0.3)=0.043$  MeV b. With  $S_{E1}=0.027$  MeV b, it increases by 24%. These variations are significant, but as seen in Fig. 2, at  $E=3$  MeV, the spectrum varies rapidly with  $E$ . Hence, extending the range of the data up to 3 MeV might not be as useful in constraining the parametrization as data taken near 1 MeV.

When our results are compared with those of Barker, [4,15,18] it appears from Table II that the constraints we have applied ( $g_{\gamma 3}=b_{\gamma\alpha}=0$  and  $B_3=0$ ) are more stringent than his. This may be related to the fact that when, e.g., we introduce the  $B_3=0$  constraint in the  $\alpha$  spectrum, the corresponding term disappears completely from the parametrization. This is not the case in Barker's parametrization. An  $R$ -matrix many-level fit is complicated by the fact that any physical constraint associated with a level (energy, reduced width amplitude, or feeding factor) must be applied only when the boundary condition constant is chosen to have a vanishing energy shift at that same level. In this context, it is obvious that the absence of boundary condition constants in the  $\mathcal{H}$ -matrix parametrization greatly simplifies the fitting procedure. A single fit contains all the physical parameters, resonance energies, reduced width amplitudes, and feeding factors.

We can conclude that the parametrized spectrum (3.11) of the  $\alpha$  particles from  $^{16}\text{N}$   $\beta$  decay, with its three feeding factors, allows a very good fit to the present data. This

TABLE III. Variation of several key parameters for the fits with  $S_{E1}(0.3)$  within its allowed range. Note the strong correlation between  $S_{E1}(0.3)$  and  $g_{\alpha 1}$ ,  $B_3$ , and the  $\alpha$  spectrum at 1.1 and 3.0 MeV.

$S_{E1}(0.3)$ (MeV b)	0.063	0.043	0.027
$g_{\alpha 1} a^{-3/2} (\text{MeV}^{1/2})$	−6.33	−5.34	−4.43
$g_{\alpha 2} a^{-3/2} (\text{MeV}^{1/2})$	6.96	7.02	7.09
$B_2$	−2370	−2385	−2399
$g_{\alpha 3} a^{-3/2} (\text{MeV}^{1/2})$	12.39 <i>i</i>	12.00 <i>i</i>	11.69 <i>i</i>
$B_3$	5724 <i>i</i>	4028 <i>i</i>	2324 <i>i</i>
$N_{1\alpha}$ at 1.1 MeV	+45%	Reference	−33%
$N_{1\alpha}$ at 3 MeV	−22%	Reference	+24%

introduces a very stringent constraint on the parameters of the  $\alpha + ^{12}\text{C}$  channel involved in the  $E1$  capture cross section. The value we have obtained for the  $E1$  part of the astrophysical factor is

$$S_{E1}(0.3) = 0.043^{+0.020}_{-0.016} \text{ MeV b} . \quad (5.1)$$

If we take for the  $E2$  part of the  $S$  factor the result obtained in Ref. [2],

$$S_{E2}(0.3) = 0.007^{+0.024}_{-0.005} \text{ MeV b} , \quad (5.2)$$

we obtain for the total  $S$  factor

$$S(0.3) = 0.05^{+0.03}_{-0.02} \text{ MeV b} . \quad (5.3)$$

However, the degree of confidence one can have in the results (5.1) and (5.3) is limited by the fact that we have not included in our fit the  $f$ -wave part of the  $\alpha$  spectrum. In addition, since the original experiment [11] did not at-

tempt to accurately extract that  $\alpha$  spectrum, there are potential uncertainties associated with the detector response and resolution [19].

We have given arguments justifying the importance of remeasuring the  $\alpha$  spectrum of  $^{16}\text{N}$   $\beta$  decay over a wider energy range, and also of obtaining better branching ratios for the  $\beta$  decay to the 7.12-MeV bound state and the 9.61-MeV resonance of  $^{16}\text{O}$ .

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\*Permanent address: Université de Liège, Institut de Physique B5, Sart Tilman, B-4000 Liège 1, Belgium.

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